

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
00437-0006

In Re Application Of: OGMEN, Melih and ADAIR, Richard

Serial No.  
10/034,205

Filing Date  
January 3, 2002

Examiner  
Not Yet Assigned

Group Art Unit  
2615

THE P & METHOD AND APPARATUS FOR OPTICAL DATA TRANSMISSION

MAY 17 2002  
PATENT & TRADEMARK OFFICE

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Technology Center 2600

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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(Under 37 CFR 1.97(b) or 1.97(c))

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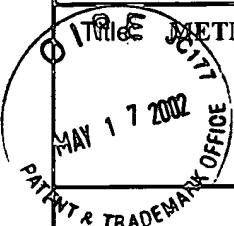
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

Technology Center 2600

A check in the amount of \_\_\_\_\_ is attached.

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\*This certificate may only be used if paying by deposit account.

Signature

John R.S. Orange  
Orange & Chari  
Suite 4900, P.O. Box 190  
66 Wellington Street West  
Toronto, Ontario  
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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Dated: May 16/02

CC:



ATTORNEY DOCKET NO.: **00437-0006**  
CUSTOMER NUMBER: **27,155**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re patent application of:

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**OGMEN, Melih & ADAIR, Richard**

**MAY 22 2002**

Serial No.: **10/034,205** Group Art Unit: **2615** Technology Center 2600

Filed: **01/03/2002** Examiner: **Not Yet Assigned**

Title: **METHOD AND APPARATUS FOR OPTICAL DATA TRANSMISSION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith.

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date: 16 May 2002

Respectfully submitted,

**John R. Orange**  
Registration No. 29,725  
Agent/Attorney for Applicant

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>	10/034,205
				<b>Filing Date</b>	01-03-2002
				<b>First Named Inventor</b>	OGMEN, Melih
				<b>Art Unit</b>	2615
				<b>Examiner Name</b>	Not Yet Assigned
				<b>Attorney Docket Number</b>	00437-0006
Sheet	1	of	1		
<i>(use as many sheets as necessary)</i>					

## U.S. PATENT DOCUMENTS

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~~MAY 22 2002~~

Technology Center 2600

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.